



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q67377

Nahoko TAKANO, et al.

Appln. No.: 09/989,662

Group Art Unit: 2643

Confirmation No.: 1776

Examiner: Tuan Pham

Filed: November 21, 2001

For: MOBILE COMMUNICATION CONTROL METHOD AND SYSTEM AND MOBILE STATION THEREOF

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. International Publication No. WO 99/13675, published March 18, 1999.
2. "Universal Mobile Telecommunications System (UMTS); Physical layer procedures (FDD); (3G TS 25.214 version 3.2.0 Release 1999); ETSI TS 125 214"; ETSI Standards, European Telecommunications Standards Institute, Sophia-Antipo, FR, vol. 3-R1, no. V320, March 2000 (2000-03); chapter 5.2.1.4.
3. Hamabe K et al.: "Forward-link Power Control Utilizing Neighboring-cell Pilot Power for DS-CDMA Cellular Systems"; Vehicular Technology Conference, 1997, IEEE 47<sup>th</sup> Phoenix, AZ, USA 4-7 May 1997, New York, NY, USA, IEEE, US, 4 May 1997 (1997-05-04), pages 939-943, section II.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/989,662

Atty. Docket No.: Q67377

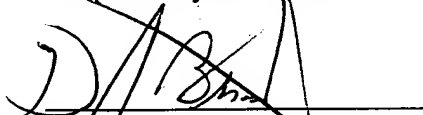
One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding European Search Report, dated December 6, 2004, issued in the corresponding European Patent Application.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: March 3, 2005



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**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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WASHINGTON OFFICE

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Substitute for Form 1449 A &amp; B/PTO

Complete if Known

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number 09/989,662  
 Confirmation Number 1776  
 Filing Date November 21, 2001  
 First Named Inventor Nahoko TAKANO  
 Art Unit 2643  
 Examiner Name Tuan Pham  
 Attorney Docket Number Q67377

Sheet 1 of 1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		WO	99/13675	A1	03-18-1999	Qualcomm Incorporated	

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		"Universal Mobile Telecommunications System (UMTS); Physical layer procedures (FDD); (3G TS 25.214 version 3.2.0 Release 1999); ETSI TS 125 214"; ETSI Standards, European Telecommunications Standards Institute, Sophia-Antipo, FR, vol. 3-R1, no. V320, March 2000 (2000-03); chapter 5.2.1.4.	
		Hamabe K et al.: "Forward-link Power Control Utilizing Neighboring-cell Pilot Power for DS-CDMA Cellular Systems"; Vehicular Technology Conference, 1997; IEEE 47 <sup>th</sup> Phoenix, AZ, USA 4-7 May 1997, New York, NY, USA, IEEE, US, 4 May 1997 (1997-05-04), pages 939-943, section II.	

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.